

# Novel Approaches and Mechanisms of Immunotherapy for Glioblastoma

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**Abstract: Glioblastoma (GBM) is the most aggressive primary brain tumor. Combination therapy with surgery, radiation, and chemotherapy is not curative at present and carries a significant risk of toxicity. Advancements in the knowledge of tumor biology and tumor microenvironment have led to the development of novel targeted therapies for glioblastoma. In the past 15 years, a vast amount of pre-clinical data has been generated for glioblastoma immunotherapy. Translating these promising results into the clinic is, however, still an evolving process. Early clinical trials have demonstrated the feasibility and safety of several such approaches in patients with recurrent as well as newly diagnosed glioblastoma. Both passive as well as active immunotherapeutic modalities have also shown potential clinical benefit in at least a subset of these patients. This brief review discusses ‘why’ and ‘how’ various types of immunotherapies are being employed to treat glioblastoma. [*Discovery Medicine* 17(93):145-154, March 2014]**

## Introduction

Glioblastoma (GBM) is the most common primary brain tumor in adults with the annual incidence of over 17,000 in the United States (Grossman *et al.*, 2010; Omuro and DeAngelis, 2013). Despite the considerable improvements made in the conventional therapy for glioblastoma over the recent years, prognosis remains extremely poor with a median survival of 18 to 21 months (Finlay *et al.*, 1995; Grossman *et al.*, 2010;

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Johnson *et al.*, 2012). Complete tumor resection is difficult owing to the diffusely infiltrative nature of the tumor (Grossman *et al.*, 2010). Concomitant and adjuvant chemotherapy with the alkylating agent temozolomide along with radiation has only been shown to improve median survival by 2.5 months (Stupp *et al.*, 2005). In the past decade, substantial amount of progress has been made in dissecting the glioblastoma biology in relation to its microenvironment as well as the host immune system. This has paved the way for researchers to explore novel targeted immunotherapeutic approaches that have the potential to improve cure rates with minimal toxicities, due to sparing of the surrounding normal brain structures. Here we review the advances made in some of the passive and active immunotherapeutic strategies for glioblastoma.

## Targeted Immunotherapy with Monoclonal Antibodies (mAb)

Monoclonal antibodies (mAb) recognize specific antigens present on the tumor cell surface in a Major Histocompatibility Complex (MHC)-unrestricted manner and induce cell death by a host of immune and non-immune mediated mechanisms. Safe and effective anti-tumor therapy with monoclonal antibodies (mAb) requires that the target antigen be confined to the tumor and its microenvironment with absent or very low frequency of expression on normal tissues. Glioblastomas are known to be highly vascular tumors that secrete pro-angiogenic factors like vascular endothelial growth factor (VEGF) (Huang *et al.*, 2005). VEGF is a key regulator of angiogenesis and is known to play a major role in tumor growth (Folkman, 1971; Hicklin and Ellis, 2005). VEGF production is increased under hypoxic conditions, e.g., in rapidly growing tumors with necrotic centers and its over-expression appears to correlate with poor prognosis (Carmeliet, 2005; Huang *et al.*, 2005). VEGF receptors (VEGFR-1, VEGFR-2, and VEGFR-3) belong to a family of platelet derived growth factor tyrosine kinase receptors (PDGFR) that upon binding with its ligand activates downstream signaling leading to angiogenesis and increased vascular

permeability (Karkkainen and Petrova, 2000; Petrova *et al.*, 1999). Bevacizumab (Avastin) is a recombinant humanized monoclonal antibody that neutralizes the biologic activity of isoform VEGF-A by blocking its binding to VGFR-1 and VGFR-2 on tumor endothelial cells (Ferrara *et al.*, 2005). Bevacizumab, either as a single agent or in combination with irinotecan was shown to be effective for recurrent glioblastoma (Friedman *et al.*, 2009; Kreisl *et al.*, 2009). Multiple ongoing clinical trials are further testing the therapeutic efficacy of bevacizumab as a single agent as well as in

combination with other cytotoxic agents and/or radiation (Table 1). Though recent evidence suggests the permeability of blood brain barrier (BBB) to intravenous bevacizumab (Friedman *et al.*, 2009; Kreisl *et al.*, 2009), the use of the intra-arterial route is being investigated as well (Table 1).

Epidermal growth factor receptor (EGFR) gene mutation is a frequent finding in glioblastoma with a deletion mutation EGFRvIII (epidermal growth factor receptor variant III) being the most common (Aldape *et al.*,

**Table 1. Summary of Clinical Trials of Monoclonal Antibodies (mAb) for Glioblastoma.**

Monoclonal Antibody (mAb)	Targeted Antigen	Trial Description / Phase	ClinicalTrials.gov Identifier
Bevacizumab	VEGF	+ conventional therapy (XRT, and/or TMZ) / phase II	NCT01149850; NCT01939574; NCT01860638; NCT01987830; NCT01443676; NCT01478321; NCT01740258
		+/- TPI 287 (microtubule-stabilizing agent)	NCT01933815; NCT02047214
		+ BKM120 (oral inhibitor of PI3 kinase) / phase II	NCT01349660
		+ NovoTTF-100A* to stunt tumor cell growth / phase II	NCT01894061; NCT01925573; NCT01954576
		+ Lomustine (CCNU) / phase II, III	NCT01067469; NCT01290939
		+ AMG 386 (trebananib; anti-angiogenic agent) / phase I, II	NCT01290263; NCT01609790
		Intraarterial cerebral infusion of bevacizumab plus carboplatin / phase I, II	NCT01386710
		+ radiosurgery and irinotecan / phase I, II	NCT01086345
		+/- anti-endoglin mAb TRC105 / phase I, II	NCT01648348; NCT01564914
		+ radiation and valproic acid	NCT00879437
		Super-selective Intraarterial Cerebral Infusion / phase I, II	NCT01269853; NCT00968240; NCT01811498
		+ dasatinib (tyrosine kinase inhibitor) / phase II	NCT00892177
		+ TMZ and bortezomib / phase I	NCT01435395
+/- vorinostat / phase I, II	NCT01266031; NCT01738646		
Nivolumab	PD-1	+/- Ipilimumab vs. bevacizumab / randomized phase II	NCT02017717
AMG 102 (Rilotumumab)	HGF	With bevacizumab	NCT01113398
Cetuximab	EGFRvIII	Super-selective intra-arterial cerebral infusion	NCT01238237
		Super-selective intra-arterial cerebral infusion with bevacizumab	NCT01884740
AMG 595	EGFRvIII	An immunoconjugate of anti-EGFRvIII human mAb with a cytotoxic agent maytansinoid DM1	NCT01475006

*Abbreviations:* TMZ, temozolomide; XRT, radiation therapy; VEGF, vascular endothelial growth factor; PD-1, programmed death-1; HGF, hepatocyte growth factor; EGFRvIII, epidermal growth factor receptor variant III.  
\* NovoTTF-100A is a device that delivers low intensity, alternating electrical fields to the brain via the electrodes placed on scalp to disrupt tumor cell division.

2004; Smith *et al.*, 2001; Wong *et al.*, 1992). Mutated EGFR $\nu$ III is a transmembrane glycoprotein that is expressed in 20 to 30% of GBMs (Moscatello *et al.*, 1995) and has constitutive tyrosine kinase activity that plays an important role in tumorigenesis and development of chemoresistance (Nagane *et al.*, 1996; Nishikawa *et al.*, 1994). Recombinant human/mouse chimeric anti-EGFR $\nu$ III mAb cetuximab was found to be well tolerated when given intravenously in patients with recurrent GBM with encouraging results (Hasselbalch *et al.*, 2010). However, combination therapy with cetuximab and bevacizumab/irinotecan was not found to be superior to bevacizumab and irinotecan alone (Hasselbalch *et al.*, 2010). Safety and efficacy of intra-arterial infusion of cetuximab alone and in combination with bevacizumab is now being investigated (Table 1). Another anti-EGFR $\nu$ III antibody nimotuzumab has completed phase III trial and results are expected (NCT00753246). AMG 595, an immunoconjugate of anti-EGFR $\nu$ III human mAb with a cytotoxic agent maytansinoid DM1, is currently in a phase I trial (NCT01475006). Binding of the mAb to the EGFR $\nu$ III on tumor cell surface leads to internalization and disruption of the microtubule by maytansinoid DM1 resulting in inhibition of tumor cell proliferation. Nivolumab is a fully human mAb that blocks the activation of negative immunoregulatory cell surface receptor PD-1 (programmed death-1) by its ligands, PD-L1 and PD-L2, leading to activation of cytotoxic T-lymphocytes (CTLs) against tumor cells (Robert *et al.*, 2013; Wolchok *et al.*, 2013). Efficacy of nivolumab is currently being tested in combination with ipilimumab (NCT02017717), a mAb that enhances T-cell activation by binding cytotoxic T-lymphocyte-associated antigen-4 (CTLA4; CD152) thus offsetting its inhibitory effect that is mediated through CD80 (B7-1) and CD86 (B7-2) (Robert *et al.*, 2013; Wolchok *et al.*, 2013).

### Induction of *In Vivo* Anti-tumor Response Using Tumor Vaccines

For active specific immunotherapy of glioblastoma, autologous dendritic cells (DCs) are most commonly used as antigen presenting cells (APCs). In addition to being the most powerful activators of innate and adaptive immune system, DCs have been shown to activate Natural Killer (NK) cells and NK T cells (Dhodapkar *et al.*, 2004; Rock *et al.*, 1990; Vidard *et al.*, 1996). Peripheral blood monocyte (PBMC)-derived DCs obtained from GBM patients by leukapheresis are pulsed *ex vivo* with tumor lysates or acid eluted membrane peptides, or by fusing the DCs with tumor cells (De Vleeschouwer *et al.*, 2008; Liau *et al.*, 2005; Wheeler *et al.*, 2008; Yamanaka *et al.*, 2005; Yu *et al.*,

2001). Tumor antigen-loaded DCs are injected into the patient, most often intradermally, though other routes of administration (i.e., subcutaneous and intravenous) have been explored. Injected DCs then migrate to the lymph nodes to activate tumor antigen specific cytotoxic T lymphocytes (CTLs) *in vivo*, and induce sustained anti-tumor response in the host by forming immunological memory (de Vries *et al.*, 2005; Morse *et al.*, 1999).

Researchers have made considerable progress in translating the glioma vaccine therapy from the bench to the bedside. DC vaccines for glioblastoma have been well tolerated in early clinical trials with considerable efficacy (Bregy *et al.*, 2013; Chang *et al.*, 2011; Cho *et al.*, 2012; Phuphanich *et al.*, 2013; Yu *et al.*, 2004; 2001). Most of the current clinical trials are designed to further test their efficacy and answer the key questions, such as ideal time interval between vaccines and the total duration of therapy required to sustain the host anti-tumor response (Table 2). As most immunotherapeutic approaches for cancer are known to be beneficial in the setting of minimal disease burden, post-operative adjuvant therapy with DC vaccines has been investigated. Improved PFS (progression free survival) and OS (overall survival) was reported following vaccination with autologous, mature, tumor lysate-loaded DC as an adjuvant therapy after reoperation (HGG-IMMUNO) in patients with relapsed GBM (n=56; 7 to 77 years of age) (De Vleeschouwer *et al.*, 2008). Total resection and younger age were shown to be the predictors of better outcome with trend to improved PFS with faster DC vaccination schedule (De Vleeschouwer *et al.*, 2008). A phase I study being conducted in collaboration with the HGG-IMMUNO group to investigate the anti-tumor immunity following intradermal injection of autologous DC vaccine with imiquimod (immune response modifier) after surgical resection is currently recruiting patients (NCT01808820). Rindopepimut, a peptide vaccine which evokes humoral and cellular immune response against EGFR $\nu$ III, has demonstrated improved PFS and OS with minimal side effects in adults with glioma (Heimberger *et al.*, 2003; Sampson *et al.*, 2009) and is currently being tested in a randomized phase III trial for adults with newly diagnosed GBM (NCT01480479). Recently, there has been a tremendous amount of interest in targeting cancer stem cells (CSCs) as they are believed to lead to tumorigenesis in the human brain and to play a key role in chemoresistance/radioreistance seen in glioblastoma, and in tumor recurrence (Altaner, 2008; Bao *et al.*, 2006). Safety of autologous DC vaccine against CD133, the stem-like cell marker expressed in the glioblastoma cells, is currently being tested in a first-in-man trial (NCT02049489). Single antigen based vaccines carry

the risk of creating target-antigen negative tumor cell variants (Sampson *et al.*, 2010), whole tumor cell derived multi-peptide vaccines consisting of a panel of tumor-associated antigens (TAAs) along with some non-specific antigens are hence preferred (Van Gool *et al.*, 2009). While the risk of inducing immune response against normal host tissues is a consideration with this strategy, none has been reported in GBM trials so far.

### Passive Immunotherapy with Adoptive Cell Transfer

Adoptive cell transfer involves directly transferring

effector immune cells to a host in order to induce anti-tumor activity. These *ex vivo*-generated effector cells may be innate immune cells or cells capable of more specific cell recognition. Nonspecific effector cells such as NK cells and lymphokine-activated killer (LAK) cells react innately as they recognize cell surface abnormalities, such as low expression of MHC class I molecules or carbohydrate abnormalities. T cells recognize foreign peptides presented on the cell surface by MHC molecules. While T cells specific for tumor antigens can be identified within the tumor tissues or elsewhere, most are present at a low frequency and

**Table 2. Tumor Vaccine Trials for Glioblastoma.**

Vaccine / Phase	Targeted Antigen / Description	ClinicalTrials.gov Identifier	Trial Site or Sponsor
Autologous DC vaccine / phase I	CD-133*	NCT02049489	Cedars-Sinai Medical Center
Autologous DC vaccine / phase I	Pulsed with lysate derived from an allogeneic glioblastoma stem-like cell line	NCT02010606	Cedars-Sinai Medical Center
Autologous DC vaccine / phase I	Tumor-lysate pulsed	NCT01957956	Mayo Clinic
DC vaccine / phase I	Tumor-lysate pulsed / with imiquimod (immune response modifier)	NCT01808820; NCT01902771	University of Miami Sylvester Comprehensive Cancer Center
Autologous DC vaccine	Tumor-lysate pulsed / +/- resiquimod (immunostimulator) or Poly-ICLC	NCT01204684	Jonsson Comprehensive Cancer Center
BTSC mRNA-loaded DC vaccine / phase I	Pulsed with BTSC-specific mRNA.	NCT00890032	National Cancer Institute
ERC1671/GM-CSF / phase I	Mixture of the autologous and allogeneic cells and lysates/ with bevacizumab and oral cyclophosphamide	NCT01903330	University of California, Irvine
Rindopepimut (CDX-110) / phase II, III	EGFRvIII With GM-CSF and bevacizumab	NCT01498328; NCT01480479	Celldex Therapeutics
ADU-623 (Live-attenuated <i>Listeria monocytogenes</i> strain expressing the EGFRvIII-NY-ESO-1) / phase I	EGFRvIII NY-ESO-1**	NCT01967758	Providence Health & Services
DEC-205-NY-ESO-1 fusion protein vaccine / phase I	NY-ESO-1**	NCT01522820	Roswell Park Cancer Institute
Heat Shock Protein-Peptide Complex-96 (HSPPC-96) vaccine / phase II	+/- bevacizumab	NCT01814813	Alliance for Clinical Trials in Oncology
IMA 950 multi-tumor associated peptide vaccine / phase I, II	With Poly-ICLC*** (adjuvant), TMZ and radiation therapy	NCT01920191	University Hospital, Geneva
Montanide ISA-51/ phase I	Survivin peptide vaccine	NCT01250470	Roswell Park Cancer Institute

*Abbreviations:* DC, dendritic cell; GM-CSF, granulocyte macrophage colony stimulating factor; EGFRvIII, epidermal growth factor receptor variant III; BTSC, brain tumor stem cell; TMZ, temozolamide.  
\* CD-133 is a stem cell marker in glioblastoma.  
\*\* NY-ESO-1 is a cancer/testis antigen.  
\*\*\* Poly-ICLC is a synthetic complex of carboxymethylcellulose, polyinosinic-polycytidylic acid, and poly-L-lysine double-stranded RNA.

many have receptors with low avidity for the tumor antigens, and are commonly anergic. An alternative strategy is to activate T cells *ex vivo* to circumvent these limitations and to overcome suppressive factors present *in vivo*, thus augmenting the anti-tumor activity (June, 2007). It is necessary to enrich for anti-tumor cells with the appropriate properties or to redirect the specificity of a non-tumor-specific population that can then be expanded to large numbers *ex vivo* for subsequent adoptive transfer. In addition, the host can be manipulated before adoptive cell transfer to provide an optimal environment for the transferred cells. In general, the transfer of *ex vivo* generated effectors could potentially overcome some of the current limitations of other targeted immunotherapies since T cells can expand, actively migrate through microvascular walls and penetrate the core of solid tumors to exert their antitumor activity (Marras *et al.*, 2003; Plautz *et al.*, 2000; Tsuboi *et al.*, 2003).

Early work introduced NK cells, lymphokine activated killer cells (LAKs), and  $\gamma\delta$  T cells as ways to expand and activate the immune system and tip the balance towards an antitumor effector function in the face of a substantially immunosuppressive tumor microenvironment. LAK cells have been safely administered within the CNS resulting in improved long term survival in adult patients with recurrent glioma (Hayes *et al.*, 1995). In a phase II trial of adult patients with GBM (n=33) treated with intralesional autologous LAK cells after initial primary treatment, those with higher doses of LAK cells had longer survival, and overall survival was encouraging compared to controls (Dillman *et al.*, 2009). *Ex vivo* activation of tumor-draining lymph node cells induces potent effector function (Porter *et al.*, 2011). This strategy has been used in patients with recurrent and newly diagnosed malignant glioma after surgery and radiation therapy. Several objective clinical

responses were noted in both adult and pediatric patients with no significant toxicity (Plautz *et al.*, 2000; Peres *et al.*, 2008). Intracavitary administration of allogeneic mixed reactive T cells for recurrent gliomas (n=5) has shown promising results and the benefits of intra-tumoral administration is being studied (Kruse *et al.*, 1997; Wang *et al.*, 2008).

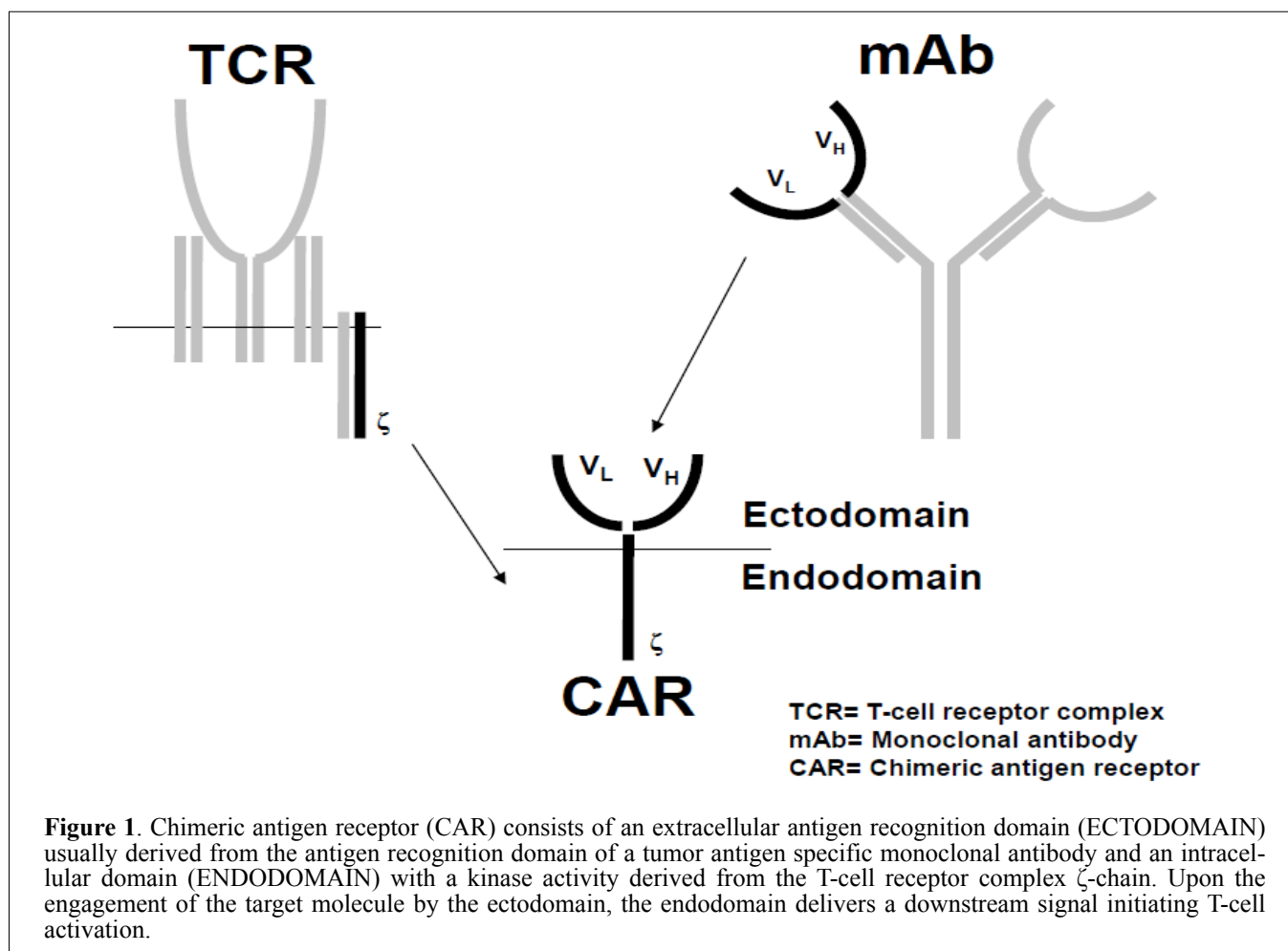
Over time, adoptive cell therapies for the treatment of glioblastoma have evolved from being relatively non-specific to tumor-specific, and most current clinical trials utilize targeted approaches such as virus specific cytotoxic T lymphocytes (CTLs) and chimeric antigen receptor (CAR)-modified T cells (Table 3). T cells recognize targets through an antigen-specific T-cell receptor (TCR) and engage with their target when presented in the context of a matching MHC molecule. Developing successful CTL therapies depends on the availability of tumor-associated antigens (TAAs) as targets, their successful processing and presentation by professional APCs, and efficient methods for T-cell activation and expansion. Both viral antigens and TAAs can be used as such targets. Several TAAs have been validated as therapeutic targets in GBM and are actively being studied for potential clinical application (Saikali *et al.*, 2007; Wykosky *et al.*, 2008; Zhang *et al.*, 2008). CD133 is one such TAA of interest in CTL therapy for glioblastoma; CD133+ CTLs have been shown to be cytotoxic to glioma stem cells (Hua *et al.*, 2011). A large percentage of GBM have been shown to express the cytomegalovirus (CMV) immunodominant proteins pp65 and IE1-72 as well as CMV nucleic acid has been detected in GBM cells by *in situ* hybridization (Cobbs *et al.*, 2002; Mitchell *et al.*, 2008; Scheurer *et al.*, 2008). CMV-specific CTLs expanded *ex vivo* from CMV seropositive GBM patients have been shown to recognize and kill CMV-expressing autologous tumor cells (Louis and Brenner, 2009). This has prompted the

<b>Immunotherapy Approach / Phase</b>	<b>Target</b>	<b>ClinicalTrials.gov Identifier</b>	<b>Trial Site or Sponsor</b>
Intratumoral injection of Allogeneic CTLs expressing genetically modifies $\alpha/\beta$ T cells / phase I	IL13R $\alpha$ 2	NCT01082926	City of Hope Medical Center
Allogeneic T-cell infusion / phase I	Not TAA-specific	NCT01144247	Jonsson Comprehensive Cancer Center
Vaccine/CMV-specific cytotoxic lymphocytes / phase I	CMV-specific antigen	NCT00693095	Duke University Medical Center
CAR modified CMV-specific cytotoxic lymphocytes / phase I	HER2	NCT01109095	Baylor College of Medicine
CAR modified T cells / phase I, II	EGFRvIII	NCT01454596	National Cancer Institute (NCI)
<i>Abbreviations:</i> CTL, cytotoxic T lymphocyte; TAA, tumor-associated antigen; CMV, cytomegalovirus; CAR, chimeric antigen receptor.			

use of CMV specific CTLs as a therapeutic modality in phase I trials (NCT00693095; NCT01205334; NCT01109095).

Genetic modification of T cells with chimeric antigen receptors (CARs) can also be employed as highly targeted therapies using adoptive cell transfer (Figure 1). CARs are artificial molecules custom made by fusing an extracellular variable domain usually derived from a high-affinity monoclonal antibody specific for a TAA of interest to an intracellular signaling domain derived from the  $\zeta$ -signaling chain of the TCR (Eshhar *et al.*, 1993). The intracellular signaling domain is responsible for activating the T cell upon encountering the specific antigen. CARs recognize antigens in an HLA-independent manner and hence are able to circumvent some mechanisms by which tumors evade immune-recognition, such as down regulation of MHC molecules (Sadelain *et al.*, 2003). CAR T cells have been shown to be effective even when target antigens are modestly expressed on tumor cells likely because they can multiply in response to antigen encounter and can recruit other effectors as well as additional components of the

immune system amplifying the antitumor immune response (Ahmed *et al.*, 2009). In addition, they broaden the range of antigens recognizable by T cells to include carbohydrate and glycolipid antigens. T cells expressing CARs can be reliably generated in a relatively short time for clinical usage, typically 10-15 days (Pule *et al.*, 2003). CAR-modified T cells have been effectively generated against some of the glioma-associated antigens including IL-13 receptor alpha 2 (IL13R $\alpha$ 2), human epidermal growth factor receptor 2 (HER2), ephrin type A receptor 2 (EphA2), and epidermal growth factor receptor variant III (EGFRvIII) (Choi *et al.*, 2014; Liu *et al.*, 2004; Jarboe *et al.*, 2007; Morgan *et al.*, 2012; Wang *et al.*, 2008). HER2-specific CAR T cells generated from GBM patients recognized autologous HER2-positive tumor cells, including their CD133-positive stem cells *in vitro*, and had potent anti-tumor activity against autologous xenografts in orthotopic models of human glioblastoma (Ahmed *et al.*, 2010). A clinical trial of CMV-specific CTLs modified to express HER2-specific CARs is currently underway (NCT01109095). T cells engineered to target IL13R $\alpha$ 2 have also shown tumor recognition and anti-tumor



effector function (Kahlonn *et al.*, 2004; NCT00730613). IL13R $\alpha$ 2 is currently being explored in a clinical trial by infusion of autologous CAR T cell clones into resection cavities of adult GBM (NCT01082926). Further, a phase I/II trial is investigating the safety and effectiveness of autologous CARs targeting EGFRvIII in adults with glioblastoma (NCT01454596).

### **Developing an Effective Immunotherapy for Glioblastoma: Challenges Involved**

Though the pre-clinical data for adoptive glioblastoma immunotherapy has been largely promising, several obstacles, some posed by glioma cells or their microenvironment and others intrinsic to the immunotherapy products, limit their clinical efficacy. Glioblastoma cells are considered to be poor APCs. They have inadequate phosphorylation and cytoskeletal rearrangements which are required for appropriate APC to T-cell contacts and stimulation of an immune response. T cells obtained from patients with gliomas do not make sufficient contact with APCs and consequently are not appropriately stimulated (Dix *et al.*, 1999). Tumor cells can, directly or by influencing the tumor microenvironment to play a protumoral role, manipulate the host's immune response for tumor-protective effects. T cells in the microenvironment and their impact on tumor growth may depend heavily upon the particular tumor infiltrating lymphocyte (TIL) subset. It is fairly agreed upon that the majority of CD4<sup>+</sup> T cells favor tumor progression, while CD8<sup>+</sup> T cells favor tumor rejection in GBM (Byrd *et al.*, 2012). Regulatory T cells inhibit the effect of T cells against tumor antigens (Heimberger *et al.*, 2003). Cytokines produced by tumors stimulate increased helper T-cell and decreased regulatory T-cell function that decreases natural tumor immunity (Sonabend *et al.*, 2012). Secretion of inhibitory factors such as transforming growth factor beta (TGF $\beta$ ) by the tumor microenvironment can have inhibitory effects on the immune system and can allow cancers to proliferate and become more invasive (Grauer *et al.*, 2007; Heimberger *et al.*, 2008; Kuppner *et al.*, 1989; Nakano *et al.*, 2006; Platten *et al.*, 2001; Siepl *et al.*, 1988). The *in vivo* induction of antigen-specific T cells using antigen loaded DC is often not reproducible, because tumor-specific T cells are either present at very low frequency due to relatively weak immunogenicity of TAAs or are anergized (Marras *et al.*, 2003; Plautz *et al.*, 2000; Tsuboi *et al.*, 2003). Down-regulation of MHC molecules may limit the effectiveness of tumor vaccine induced cellular immune response as well (Dunn *et al.*, 2004). Problems such as limited *in vivo* expansion following infusion of cellular products are being resolved by optimizing the cellular product (for

example including enhanced signaling domains in CAR T cells or infusion of more naïve phenotypes of effectors) and/or optimizing the host by strategies such as lymphodepletion or co-administration of immuno-stimulatory cytokines.

In addition to having variable antigen expression between patients, glioblastoma cells in any individual patient exhibit great heterogeneity and targeted therapies can become ineffective over time as tumors develop antigen escape variants. This could develop because of the high mutation rate in glioblastoma, but might be intrinsic to the tumor cell or induced by selective survival of target negative tumor cells after therapy. Overcoming this mechanism of resistance will be necessary to improve response in patients. There are strategies in the development that could offset antigen escape by co-targeting multiple TAAs, such as use of whole tumor cell derived DC vaccines and using cellular products grafted with multiple CARs (Hegde *et al.*, 2013) or TanCAR, a bispecific CAR molecule that can simultaneously target two TAAs (Grada *et al.*, 2013). The presence of BBB provides challenges in using systemically administered immunotherapy strategy for the treatment of glioblastoma. This barrier also provides immune privilege that makes utilizing host immune responses in treatment of these malignancies challenging (Doolittle *et al.*, 2005). While many studies utilize direct injection of cells to the tumor site to bypass BBB, intravenous, intra-arterial, intranodal, intradermal, and intranasal injections are other options. Investigative comparison of these delivery strategies should be performed to reach the optimal delivery route for effective GBM immunotherapy modalities.

### **Summary**

Developing an effective targeted immunotherapy for glioblastoma has been a considerable challenge due to disease heterogeneity and hostile environment the tumor creates for the immune system. Despite the obstacles, remarkable progress has been made in the field in the past decade. While early clinical trials of these targeted approaches have shown encouraging results in terms of efficacy and safety, substantial testing needs to be undertaken before these novel treatment modalities can be made available as standard therapies across the centers that treat patients with glioblastoma.

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## Disclosure

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